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## A. VALERIE MIRKO CO-HOSTS MONTHLY SECURITIES REGULATION AND ENFORCEMENT SERIES ON D.C. BAR PODCAST "BRIEF ENCOUNTERS"

## RECENT EPISODES FOCUS ON THE IMPACT OF THE 2025 PRESIDENTIAL TRANSITION ON THE SEC

Armstrong Teasdale Partner <u>A. Valerie Mirko</u> is a recurring co-host of the monthly *Securities Regulation and Enforcement Series* on <u>Brief Encounters</u>, a D.C. Bar Communities podcast that offers exclusive interviews and conversations with D.C. Bar members and guests about a variety of timely and hot-button topics in the legal and business communities. The *Securities Regulation and Enforcement Series* features exclusive interviews and conversations with securities practitioners and regulators.

Season 1 of the Securities Regulation and Enforcement episode series kicked off with "Investor Protection in 2024," in which Valerie and co-host William Nelson, Director of Public Policy and Associate General Counsel at the Investment Adviser Association, discuss legislation including the Dodd-Frank Act that was ushered in as the result of 2008 financial crisis and the aftermath of rulemaking, implementation and enforcement. Season 1 has included the following episodes to date:

- Season 1, Episode 1 <u>Investor Protection in 2024</u>
- Season 1, Episode 2 All Things Standards of Care in 2024
- Season 1, Episode 3 <u>Developments in Insider Trading, with a Focus on</u>
   <u>SEC v. Panuwat</u>
- Season 1, Episode 4 <u>The SEC Private Funds Rule</u>, the Fifth Circuit
   Decision and SEC Rulemaking Authority
- Season 1, Episode 5 <u>Fireside Chat with SEC Investor Advocate Cristina</u>
   <u>Martin Firvida</u>
- Season 1, Episode 6 Off-Channel Communications, A State of the

## **PEOPLE**

A. Valerie Mirko



## **Union**

Season 1, Episode 7 - Impact of 2025 Presidential Transition on the SEC

<u>Episode 8 is available now</u> and continues Episode 7's discussion on the impact of the 2025 Presidential Transition on the SEC. The second season of the series will launch on January 22, 2025 and continue the same monthly format.

A.Valerie Mirko is a partner at Armstrong Teasdale and Leader of the Firm's Securities Regulation and Litigation practice, Mirko represents asset managers — including retail and wealth management firms — and private fund advisers in U.S. Securities and Exchange Commission (SEC) enforcement matters as well as in connection with examinations and regulatory matters. Valerie has experience with inquiries, investigations and enforcement actions initiated by the SEC as well as the Financial Industry Regulatory Authority (FINRA) and state agencies. She also conducts internal investigations designed to advise and assist clients in preventing or responding to regulatory actions.

Learn more about Brief Encounters on Spotify.