



CAROLINE POLISI

PARTNER

New York, NY

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Caroline Polisi is an esteemed white-collar and federal criminal defense attorney. A well-known legal analyst and commentator with more than a decade of experience, her sound counsel has led numerous high-profile individuals to rely on her for legal representation.

Caroline handles regulatory investigations, complex commercial litigation and appeals for her clients. A top-rated white-collar criminal defense attorney in New York, she has represented numerous individuals in both state and federal courts in criminal and civil proceedings. She has experience in all phases of the litigation process including pre-indictment advocacy, grand jury investigations, complex bail proceedings, pretrial motions, motions in limine, jury selection, trials, sentencing, restitution, forfeiture and other post-conviction proceedings.

Further, Caroline has extensive experience handling investigations and prosecutions brought under a wide variety of federal criminal statutes, including those related to banking fraud, health care fraud, bitcoin schemes, Ponzi schemes, insider trading, commercial bribery, money laundering and conspiracy offenses.

Caroline regularly appears on CNN, MSNBC, CBS, ABC, Fox News and BBC News as a legal analyst commenting on high-stakes criminal cases. She is also a contributing legal opinion writer to CNN and is frequently quoted by national media including The Wall Street Journal and The New York Times, among others.

BACKGROUND

Prior to joining Armstrong Teasdale, Caroline was an attorney at several other New York law firms.

EDUCATION

- Columbia Law School (J.D., 2008)
 - Harlan Fiske Stone Scholar
 - Columbia Journal of Law and the Arts
 - Teaching Assistant, American Legal History
 - Courtroom Advocates Project, Bronx and Manhattan Family Courts
- Yale University (B.A., *cum laude*, 2004)
 - Departmental honors in English
 - Yale Daily News, Contributing staff reporter

SERVICES AND INDUSTRIES

White-Collar Criminal Defense
and Government

Investigations

Internal Investigations and

Regulatory Compliance

Complex Commercial

Litigation

Appellate

Governance and Compliance

Government Relations and

Public Policy

Litigation

Securities Regulation and

Litigation

Financial Services and Banking

ADMISSIONS

New York

New York Supreme Court,

Appellate Division

U.S. District Court, Southern

District of New York

U.S. District Court, Eastern

District of New York

PROFESSIONAL ACTIVITIES

- Columbia Law School, Lecturer in Law
- National Association of Criminal Defense Lawyers (NACDL)
- Federal Bar Council

CHARITABLE AND CIVIC INVOLVEMENT

- UNICEF'S Next Generation, Founding Member
- Signature Theatre, Board Member

ACCOLADES

- New York Super Lawyers® Rising Star, White Collar Criminal Defense (2015-2017, 2019)
- New Yorker Cartoon Caption Contest, Finalist and winner (July 2009)

EXPERIENCE

Defeated Motion to Cancel Notice of Pendency in \$1.5 Million Dispute

Defeated a motion by property owner to cancel client's notice of pendency on real property, on grounds that property owner was "alter ego" of parties who defaulted on the loans totaling \$1,545,000.

Representation in Congressional and Special Counsel Investigations

Represented an individual in Congressional and Special Counsel investigations regarding alleged collusion in the 2016 U.S. Presidential election. This included preparation for and delivery of testimony before the House Judiciary and House Oversight and Government Reform Committees, leading a joint probe into Federal Bureau of Investigation (FBI) and Justice Department conduct during the 2016 presidential race.

Pro Bono Counsel for The Innocence Project/Innocence Network Inc.

Served as pro bono counsel for The Innocence Project/Innocence Network, Inc.'s amicus brief in connection with an appeal by an inmate with respect to Ohio's DNA testing statute.

Pro Bono Counsel Obtaining Housing for Children with Medical Needs

Served as pro bono counsel for Volunteers of Legal Service. Successfully represented three clients in the Bellevue Hospital Program by obtaining better public housing accommodations for their children with severe medical needs.

Acquittal for Client in Medicare Fraud, Money Laundering Trial

Secured acquittal on all counts after seven-week trial of Medicare fraud and money laundering charges in the U.S. District Court for the Eastern District of New York.

Representation of Executive in Antitrust Investigation

Represented purchasing manager of public utility in connection with a criminal investigation by the DOJ Antitrust Division.

Representation of Major Financial Institution in Restructuring Deal

While practicing at a large, international law firm, participated in a restructuring deal that was awarded “Restructuring Deal of the Year” by the International Financial Law Review.

Representation of CEO of Internet Retail Store in Wire Fraud Prosecution

Represented CEO of leading online clothing retailer in a two-week jury trial in US District Court for the Eastern District of New York on charges of wire fraud, money laundering, and aggravated identity theft. Although convicted at trial, client obtained a sentence half of that advocated by the government and three years less than offered by the government in its proposed plea agreement.

Representation of General Manager of Professional Baseball Team in Prosecution Against Extortionist

Represented General Manager of Major League Baseball team in prosecution by the New York County District Attorney’s Office against individual who allegedly extorted and stalked him.