



IVAN B. KNAUER

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Ivan Knauer represents public companies, broker-dealers, investment advisers, investment companies and their officers, directors and employees in securities enforcement and litigation matters.

He represents clients in enforcement matters brought by a variety of state and federal agencies, including the U.S. Securities and Exchange Commission (SEC), Financial Industry Regulatory Authority (FINRA), Commodity Futures Trading Commission (CFTC), Consumer Financial Protection Bureau (CFPB) and the Department of Justice (DOJ). He also handles securities class actions, arbitrations and internal investigations.

A former enforcement attorney at FINRA and the SEC, Ivan also advises financial institutions on regulatory compliance matters. He has extensive experience with SEC receiverships and bankruptcy matters, and regularly conducts internal investigations. In addition, he has served as an expert witness in state and federal courts on various securities-related matters.

BACKGROUND

Prior to joining Armstrong Teasdale, Ivan was a partner and practice group leader at other national and international law firms.

EDUCATION

- New York University (J.D.)
- University of Pennsylvania (B.A.)

PROFESSIONAL ACTIVITIES

- Association of Securities and Exchange Commission Alumni (ASECA)
- Securities Industry and Financial Markets Association (SIFMA)
- National Society of Compliance Professionals (NSCP)

SERVICES AND INDUSTRIES

Class Action Litigation

Securities Regulation and
Litigation

White-Collar Criminal Defense
and Government
Investigations

Financial Services and Banking

ADMISSIONS

District of Columbia

New York

Utah