



KATHARINE M. LADD

PARTNER

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Katharine Ladd is a partner in the firm's Litigation practice group focusing primarily on complex commercial litigation, white collar criminal defense and internal investigations. She has represented both large corporations and senior-level executives in federal and state court proceedings, as well as handled international criminal and regulatory matters.

Katharine has extensive experience representing pharmaceutical companies and medical device manufacturers in matters involving potential violations of the Anti-kickback Statute and False Claims Act. She has also represented financial services companies and executives in numerous criminal and civil matters involving insider trading, market manipulation, money laundering, securities fraud, tax evasion and consumer protection statutes. In addition to her litigation and enforcement experience, Katharine also counsels companies on compliance issues in a complex and constantly changing regulatory environment.

EDUCATION

- New York University School of Law (J.D., *cum laude*, 2010)
 - McKay Scholar
 - Annual Survey of American Law, Editor
 - Lawyering Program, Teaching Assistant
- Hamilton College (B.A., *magna cum laude*, 2005)

ACCOLADES

- Pennsylvania Super Lawyers®, Rising Star: White-Collar Crime (2021)

BACKGROUND

Prior to joining the firm, Katharine was an associate at a mid-Atlantic law firm and an AmLaw 100 international litigation firm in Washington, D.C.

EXPERIENCE

Obtained Dismissal for Communications Client in Wrongful Death Suit

Obtained a dismissal for a communications client in U.S. District Court for the Middle District of Pennsylvania, in which they were involved as a party in a wrongful death,

SERVICES AND INDUSTRIES

Class Action Litigation

Complex Commercial
Litigation

White-Collar Criminal Defense
and Government
Investigations

Internal Investigations and
Regulatory Compliance
Litigation

Securities Regulation and
Litigation

Health Care and Life Sciences

ADMISSIONS

Pennsylvania

New York

District of Columbia

negligence and fraud case brought against one of their own clients. The motion to dismiss was granted based on lack of personal jurisdiction and failure to state a claim.

Representation of Major International Pharma Company in Internal Investigation

Represented a major international pharmaceutical company in an internal investigation related to a patient support program that provides financial assistance to Medicare patients.

Won Dismissal of Class Action Securities Litigation Alleging Market Manipulation for Brokerage Firm

Represented a brokerage firm in class action securities litigation alleging manipulation of options market. Won motion to dismiss. Affirmed on appeal by the Third Circuit.

Won Motion to Dismiss Class Action Lawsuit Against a Multinational Oil and Gas Corporation

Represented a multinational oil and gas corporation and its franchisees in class action litigation alleging violations of consumer protection statutes. Won motion to dismiss in federal court.

Favorable Resolution of Criminal Investigation for Senior Executive of Medical Device Manufacturer

Represented a senior executive at a medical device manufacturer in connection with a criminal investigation involving potential false statements to the U.S. Food and Drug Administration. Resolved without any criminal charges.

Provided Counsel to Pharma Company in Connection with Policies and Procedures

Assisted a major pharmaceutical company with a comprehensive update of policies, including on issues such as interactions with health care providers, sponsorships, educational grants, exhibits and charitable donations, to ensure compliance with federal regulations and industry practice.

Representation of Global Chemical Company Executives in Criminal Investigation

Represented several senior employees of a global chemical company in a criminal investigation involving alleged violations of environmental laws.

Representation of Payment Processing Service Provider in Litigation Alleging Consumer Protection Violations

Represented a major payment processing service provider in connection with class action litigation involving alleged violations of consumer protection statutes.

Representation of Pharma Company Involving Reimbursement and Copay Programs

Represented a pharmaceutical company in connection with a government investigation involving its reimbursement and copay programs.

Successful Defense of State Senator Against Federal Bribery Charges

Successfully defended, as part of a team, a state senator against federal bribery charges.

Representation of Large Financial Institutions in Connection with DOJ Offshore Tax Compliance Initiative

Represented several large financial institutions in connection with the Department of Justice's program to resolve the criminal liability of Swiss banks that aided and abetted tax evasion by U.S. clients. Obtained favorable resolutions for all clients.

Representation of Senior Executive in Criminal Money-Laundering Case

Represented a senior executive in connection with criminal money-laundering charges

and a related action by the U.S. Department of Treasury's Office of Foreign Assets Control.

Representation of Financial Institution in Criminal Investigation Alleging Market Manipulation

Represented a financial institution in connection with a DOJ investigation into potential manipulation of the precious metals trading market. Successfully persuaded DOJ to decline prosecution.

Representation of Financial Institutions in Investigation of Corruption Involving a Major International Football Association

Represented several financial institutions in connection with a criminal investigation into the corruption of officials in a major international football association.

Representation of Publicly Traded Company in Internal Investigation and SEC Inquiry Relating to Accounting Fraud

Represented a publicly traded company connection with an internal investigation and SEC inquiry involving large-scale accounting fraud by a senior executive.

Representation of Senior Executive in Connection with Criminal Economic Espionage Charges

Represented a senior executive of a medical device manufacturer in connection with criminal economic espionage charges. Resolved without criminal charges.

Favorable Settlement with SEC for Offshore Investment Company in Insider Trading Action

Represented an offshore investment company and its trustees in an insider trading action. Reached favorable settlement with the SEC.

Representation of Financial Institution in Securities Class Action Following Acquisition

Represented a major financial institution in class action securities litigation arising from its acquisition of another major bank.

Obtained Dismissal in Shareholder Derivative Suit for Major Fund Complex

Represented a major fund complex in connection with a shareholder derivative suit involving auction rate securities. Successfully obtained dismissal of the case.