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MATT KITZI, ATGS COMPLIANCE SOLUTIONS EARN TOP LEGAL INNOVATION AWARD

Jefferson City Corporate Services Partner <u>Matt Kitzi</u> and ATGS Compliance Solutions are being recognized with a 2018 Top Legal Innovation Award from Missouri Lawyers Weekly.

Armstrong Teasdale, through its AT Government Strategies subsidiary, undertook to provide unique and much-needed services to its broker-dealer and investment adviser clients with the creation of ATGS Compliance Solutions. Now in its second-year of existence, the Compliance Solutions group offers services to firm clients ranging from handling routine securities industry compliance matters to triaging sensitive registration, examination and investigation issues.

ATGS Compliance Solutions is led by Kitzi and Judi Lahr, the former senior auditor and long-time enforcement manager for the Missouri Securities Division who works as compliance specialist for the group. ATGS Compliance Solutions also enlists the help of former insurance regulators as well as former industry licensees where necessary. This enables Compliance Solutions to use its network of regulatory contacts in Missouri, the Midwest and across the United States to resolve licensing issues, expand business lines, handle exams and audits, respond to investigations and reach regulatory resolutions for its clients.

Kitzi's public service experience, highlighted by his seven-year tenure as Missouri Commissioner of Securities, gives him the ability to offer practical, insightful counsel to clients ranging from the largest financial services firms to entrepreneurs at new and emerging startup companies. He is a trusted adviser to his clients in the areas of securities regulation, raising capital, business planning strategies, financial services and government affairs.

PEOPLE

Matt Kitzi

SERVICES AND INDUSTRIES

Governance and Compliance

Government Relations and Public Policy