



## NOELLE E. MACK

### ASSOCIATE

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Noelle Mack is an associate in the Corporate Services practice group. She has experience researching and assisting on a multitude of issues involving commercial domestic and international transactions, corporate governance, securities offerings and SEC regulatory matters, with a focus on the Advisers Act. She has drafted and reviewed a variety of deliverables for clients with regard to entity formation, financing and commercial agreements. She also counsels investment advisers and broker-dealers regarding federal and state securities laws, with a focus on regulatory initiatives, compliance programs and exams and investigation matters.

With a background in communications and public relations, Noelle has worked on a variety of matters from SEC filings and quarterly earnings to mergers and acquisitions, with a keen eye on advancing and protecting client reputation.

### BACKGROUND

Noelle was a summer associate at Armstrong Teasdale before joining the firm full time. Prior to that, she was an account executive at a St. Louis-based global PR and marketing agency.

### EDUCATION

- University of Missouri School of Law (J.D., *magna cum laude*, 2023)
  - Order of the Coif
  - Missouri Law Review, Editor in Chief
  - CALI Academic Excellence Awards in Contract Drafting; Entrepreneurship Legal Clinic; Advocacy & Research; and Advanced Torts
  - Guy A. Thompson Prize for Best Production, Missouri Law Review
  - Global Antitrust Institute's National Moot Court Competition, Runner-Up
  - Phi Delta Phi Honor Society
- University of Missouri (B.A., *summa cum laude*, 2017)
  - Communication
  - Political Science
  - Certificate in Multicultural Studies
  - Kinder Scholars D.C. Summer Program
  - Outstanding Senior in Political Communication
  - Lambda Pi Eta Honor Society

### SERVICES AND INDUSTRIES

Corporate  
Emerging Companies  
Franchise Business  
Acquisitions  
Governance and Compliance  
Mergers and Acquisitions  
Private Equity and Venture Capital  
Securities and Corporate Finance  
Securities Regulation and Litigation  
Internal Investigations and Regulatory Compliance  
Financial Services and Banking

### ADMISSIONS

Missouri

## CHARITABLE AND CIVIC INVOLVEMENT

- Puppies with Purpose
- D&D Animal Sanctuary

## THOUGHT LEADERSHIP

June 2024

### **Handle with Care: Evolving Standards of Care**

Materials for SIFMA Compliance & Legal Society 2024 St. Louis Regional Seminar

March 2024

### **Ethics for Advisers: Compliance with Fiduciary Standards - Spotlight on Code of Ethics Requirements**

Materials for Investment Adviser Association 2024 Compliance Conference

December 4, 2023

### **Summary & Comparison Chart: NASAA Proposed Broker-Dealer Model Rule and SEC Regulation Best Interest**

Appendix to Comment Letter by the Securities Industry and Financial Markets Association (SIFMA)

2022

### **No-Poach, No Precedent: How the DOJ's Aggressive Stance on Criminalizing Labor Market Agreements Violates Antitrust Jurisprudence**

Missouri Law Review